

TRANSMITTAL FORM

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| Application Serial Number | 10/799,428 |
| Filing Date | March 12, 2004 |
| First Named Inventor | Rowan |
| Confirmation No. | 8147 |
| Group Art Unit | 2001 2189 |
| Examiner Name | Not yet assigned Shawn Gu |
| Attorney Docket No. | RVI-005 |
| Patent No. | Not applicable |
| Issue Date | Not applicable |

ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Brief (in triplicate) |
| <input type="checkbox"/> Petition for Extension of Time | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal | <input type="checkbox"/> Status Inquiry |
| <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 |
| | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
| | <input type="checkbox"/> CD(s) for large table or computer program | |
| | <input type="checkbox"/> Amendment After Allowance | |
| | <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate) | |

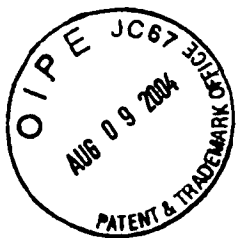
CORRESPONDENCE ADDRESS

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SIGNATURE BLOCK

Respectfully submitted,

Date: August 5, 2004
Reg. No.: 46,667
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PATENT
Attorney Docket No. RVI-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Rowan et al. CONFIRMATION NO.: 8147
SERIAL NO.: 10/799,428 GROUP NO.: 2661
FILING DATE: March 12, 2004 EXAMINER: Not yet assigned
TITLE: Method for Identifying the Time at which Data was Written to a Data Store

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), copies of U.S. patents and published applications are not enclosed. Applicants also wish to make the Examiner aware of the following applications currently pending before the U.S. Patent Office: 10/668,833; 10/778,436; 10/778,561; and 10/780,004.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

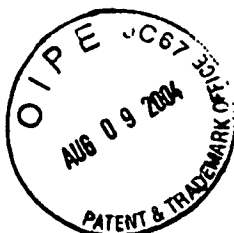
Respectfully submitted,



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Date: August 5, 2004
Reg. No.: 46,667

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| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT | ATTORNEY DOCKET NO.: RVI-005 APPLICANT(S): Rowan et al. SERIAL NO.: 10/799,428 FILING DATE: March 12, 2004 CONFIRMATION NO.: 8147 GROUP: 2661 |
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U.S. PATENT DOCUMENTS

| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|-----|--------------------|----------|------------------|-------|--------------|-------------------------------|
| | A1 | 4,814,971 | 3/21/89 | Thatte | 364 | 200 | 9/11/85 |
| | A2 | 5,201,044 | 4/6/93 | Frey, Jr. et al. | 395 | 575 | 4/16/90 |
| | A3 | 5,235,601 | 8/10/93 | Stallmo et al. | 371 | 40.1 | 12/21/90 |
| | A4 | 5,280,611 | 1/18/94 | Mohan et al. | 395 | 600 | 11/8/91 |
| | A5 | 5,287,501 | 2/15/94 | Lomet | 395 | 600 | 7/11/91 |
| | A6 | 5,325,519 | 6/28/94 | Long et al. | 395 | 575 | 10/18/91 |
| | A7 | 5,381,545 | 1/10/95 | Baker et al. | 395 | 575 | 5/28/92 |
| | A8 | 5,479,654 | 12/26/95 | Squibb | 395 | 600 | 3/30/93 |
| | A9 | 5,729,743 | 3/17/98 | Squibb | 395 | 619 | 11/30/95 |
| | A10 | 5,745,906 | 4/28/98 | Squibb | 707 | 203 | 11/14/95 |
| | A11 | 5,751,939 | 5/12/98 | Stiffler | 395 | 182.13 | 11/29/95 |
| | A12 | 5,764,877 | 6/9/98 | Lomet et al. | 395 | 182.04 | 9/1/95 |
| | A13 | 5,787,243 | 7/28/98 | Stiffler | 395 | 182.11 | 7/2/96 |
| | A14 | 5,790,773 | 8/4/98 | DeKoning et al. | 395 | 182.04 | 12/29/95 |
| | A15 | 5,813,017 | 9/22/98 | Morris | 707 | 204 | 9/3/96 |
| | A16 | 5,864,657 | 1/26/99 | Stiffler | 395 | 182.13 | 11/29/95 |
| | A17 | 5,893,119 | 4/6/99 | Squibb | 707 | 203 | 7/22/97 |
| | A18 | 5,948,110 | 9/7/99 | Hitz et al. | 714 | 6 | 6/5/95 |
| | A19 | 6,016,553 | 1/18/00 | Schneider et al. | 714 | 21 | 6/26/98 |
| | A20 | 6,061,769 | 5/9/00 | Kapulka et al. | 711 | 162 | 9/27/95 |
| | A21 | 6,065,018 | 5/16/00 | Beier et al. | 707 | 202 | 3/4/98 |
| | A22 | 6,081,875 | 6/27/00 | Clifton et al. | 711 | 162 | 5/19/97 |

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|---|---|----------------------------|-------------|--|--------------|----------------------|---------------------------------------|--------------------------|-----------------------------------|
| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT | | | | ATTORNEY DOCKET NO.: RVI-005 APPLICANT(S): Rowan et al. SERIAL NO.: 10/799,428 FILING DATE: March 12, 2004 CONFIRMATION NO.: 8147 GROUP: 2661 | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | | |
| | A23 | 6,148,416 | 11/14/00 | Masubuchi | 714 | 15 | 5/9/97 | | |
| | A24 | 6,158,019 | 12/5/00 | Squibb | 714 | 13 | 11/14/97 | | |
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| | A30 | 6,345,346 B1 | 2/5/02 | Biessener et al. | 711 | 162 | 2/26/99 | | |
| | A31 | 6,539,402 B1 | 3/25/03 | Sorenson et al. | 707 | 202 | 2/22/00 | | |
| | A32 | 2002/0023199 A1 | 2/21/02 | Biessener et al. | 711 | 162 | 10/12/01 | | |
| | A33 | 2002/0049883 A1 | 4/25/02 | Schneider et al. | 711 | 100 | 4/25/01 | | |
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| | A35 | 2002/0156971 A1 | 10/24/02 | Jones et al. | 711 | 114 | 4/19/01 | | |
| | A36 | 2003/0093444 A1 | 5/15/03 | Huxoll | 707 | 204 | 11/15/01 | | |
| | A37 | 2003/0115432 A1 | 6/19/03 | Biessener et al. | 711 | 162 | 12/14/01 | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
| | | | | | | | | | |
| OTHER ART, JOURNAL ARTICLES, ETC. | | | | | | | | | |
| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | |

/Shawn Gu/

09/10/2008



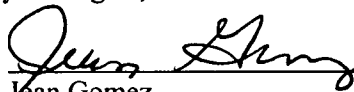
PATENT
Attorney Docket No. RVI-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Rowan et al. CONFIRMATION NO.: 8147
SERIAL NO.: 10/799,428 GROUP NO.: 2661
FILING DATE: March 12, 2004 EXAMINER: Not yet assigned
TITLE: Method for Identifying the Time at which Data was Written to a Data Store

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5th day of August, 2004.


Jean Gomez

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Information Disclosure Statement (2 pgs.);
3. Form PTO-1449 (2 pgs.); and
4. a return receipt postcard.

3100639

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.G./